



IFW Receipt

S&H Form: (2/01)
DOCKET NO. 1454.1704

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Stefan AUST et al.

Serial No: 10/573,976

Group Art Unit: 2617

Confirmation No. 7754

Filed: March 30, 2006

Examiner:

For: METHOD FOR CONTROLLING A HANDOVER BETWEEN TWO NETWORK ACCESS
DEVICES

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

It is requested that the Attorney Docket Number, the third Inventor's name, the Assignment for Published Patent Application information, and the Foreign Application number on the Official Filing Receipt be corrected. The correct the Attorney Docket Number is **1454.1704**. The correct spelling of the third inventor's name is **Carmelita GÖRG**. Assuming that umlauts cannot be printed, the third inventor's name may recorded as **Carmelita GOERG** using conventional transliteration. The correct Assignment for Published Patent Application information should be inserted to reflect: **Siemens Aktiengesellschaft, Munich, Germany**. The correct Foreign Application number is **10345528.0**.

This information is evidenced by a copy of the Transmittal Letter, a copy of the executed Declaration, and a copy of the executed Assignment which were attached to the application as filed. For the convenience of the Patent and Trademark Office, attached is a photocopy of the original receipt on which the errors have been noted in red.

It is requested that a corrected Official Filing Receipt be issued in this application.

Respectfully submitted,

STAAS & HALSEY LLP

Date: 6/18/06

By: Richard A. Gollhofer
Richard A. Gollhofer
Registration No. 31,106

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COPY

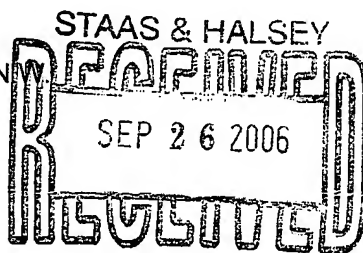
UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/573,976	03/30/2006	2617	900	14541704	5	15	3

-- 1454.1704 -- CONFIRMATION NO. 7754

21171
 STAAS & HALSEY LLP
 SUITE 700
 1201 NEW YORK AVENUE, NW
 WASHINGTON, DC 20005



FILING RECEIPT



OC000000020472988

Date Mailed: 09/19/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Stefan Aust, Kyoto, JAPAN;
 Nikolaus Albert Fikouras, Hambergen, GERMANY;
 Carmelita Gorg, Bremen, GERMANY;
 Cornel Pampu, Berlin, GERMANY;
 Daniel Proetel, Bremen, GERMANY;

-- GORG --

-- Assignment for Published Patent Application: Siemens Aktiengesellschaft, Munich, Germany --
 Power of Attorney: The patent practitioners associated with Customer Number 21171.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP04/52369 09/30/2004

Foreign Applications

GERMANY [103455380] 09/30/2003

-- 10345528.0 --

If Required, Foreign Filing License Granted: 09/18/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/573,976**

Projected Publication Date: 12/28/2006

Non-Publication Request: No

Early Publication Request: No

Title

Method for controlling a handover between two network access devices

Preliminary Class

455

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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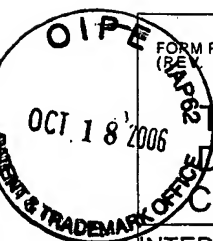
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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

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FORM PTO-1390
(REV. 12-2004)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

1454.1704

COPY

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.
PCT/EP2004/052369INTERNATIONAL FILING DATE
30 September 2004PRIORITY DATE CLAIMED
30 September 2003

TITLE OF INVENTION

METHOD FOR CONTROLLING A HANDOVER BETWEEN TWO NETWORK ACCESS DEVICES

APPLICANT(S) FOR DO/EO/US

Stefan AUST et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☒ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☒ A substitute specification, including marked-up copy.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
18. ☒ A copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: PCT/ISA/220; PCT/ISA/210; PCT/ISA/237 w/Supplemental Sheet; PCT/IPEA/416; PCT/IPEA/409 w/Supplemental Sheet.

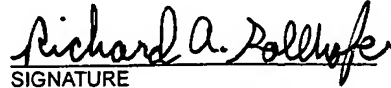
U.S. APPLICATION NO. (if known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO. PCT/EP2004/052369		ATTORNEY'S DOCKET NUMBER 1454.1704	
21. <input checked="" type="checkbox"/> The following fees are submitted:				APPLICANT USE	OFFICE USE ONLY
<input checked="" type="checkbox"/> a) Basic National Fee\$300.00				\$300.00	
22. <input checked="" type="checkbox"/> b) Examination Fee If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1) - (4) \$0 All other situations\$200.00				\$200.00	
23. <input checked="" type="checkbox"/> c) Search Fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1) - (4)..... \$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Search Authority....\$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB\$400 All other situations\$500				\$400.00	
TOTAL OF ABOVE CALCULATIONS = \$ ***				\$900.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each addition 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Rate		
* - 100 =	*/50=		X 250.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	15- 20 =	0	x \$50.00	\$0.00	
Independent claims	3- 3 =	0	x \$200.00	\$0.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.00	\$	
TOTAL OF ABOVE CALCULATIONS =				\$0.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	
SUBTOTAL =				\$	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				\$	
TOTAL NATIONAL FEE =				\$900.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$40.00	
TOTAL FEES ENCLOSED =				\$940.00	
Amount to be refunded:					\$
Amount to be charged:					\$

- a. ☒ A check in the amount of \$940.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-3935. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Staas & Halsey LLP
1201 New York Avenue, N.W., 7th Floor
Washington, DC 20005



SIGNATURE

Richard A. Gollhofer

NAME

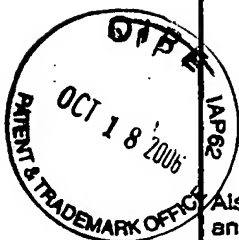
Date

31.106

REGISTRATION NUMBER

Declaration and Power of Attorney For Patent Application
Erklärung Für Patentanmeldungen, Mit Vollmacht
German Language Declaration

COPY



Als nachstehend benannter Erfinder erkläre ich hiermit an Eides Statt:

dass mein Wohnsitz, meine Postanschrift, und meine Staatsangehörigkeit den im Nachstehenden nach meinem Namen aufgeführten Angaben entsprechen, dass ich, nach bestem Wissen der ursprüngliche, erste und alleinige Erfinder (falls nachstehend nur ein Name angegeben ist) oder ein ursprünglicher, erster und Miterfinder (falls nachstehend mehrere Namen aufgeführt sind) des Gegenstandes bin, für den dieser Antrag gestellt wird und für den ein Patent für die Erfindung mit dem Titel beantragt wird:

Verfahren zur Steuerung einer Verbindungsübergabe zwischen zwei Netzzugangseinrichtungen

deren Beschreibung hier beigefügt ist, es sei denn (in diesem Falle Zutreffendes bitte ankreuzen), diese Erfindung

- ☒ wurde angemeldet am 30.09.2004 unter der US-Anmeldenummer oder unter der Internationalen Anmeldenummer im Rahmen des PCT-Vertrags PCT/EP2004/052389 und am 04.11.2004 und 29.07.2005 abgeändert (falls zutreffend).

Ich bestätige hiermit, dass ich den Inhalt der obigen Patentanmeldung einschliesslich der Ansprüche, die eventuell durch einen Zusatzantrag wie oben erwähnt abgeändert wurde, durchgesehen und verstanden habe.

Ich erkenne meine Pflicht zur Offenbarung jeglicher Informationen an, die zur Prüfung der Patentfähigkeit in Einklang mit Titel 37, Code of Federal Regulations, § 1.56 von Belang sind.

Ich beanspruche hiermit ausländische Prioritätsvorteile gemäß Title 35, United States Code, § US-Code, § 119 (a)-(d), bzw. § 365(b) aller unten aufgeführten Auslandsanmeldungen für Patente oder Erfinderurkunden, oder § 365(a) aller PCT Internationalen Anmeldungen, welche wenigstens ein Land ausser den Vereinigten Staaten von Amerika benennen, und habe nachstehend durch ankreuzen sämtliche Auslandsanmeldungen für Patente bzw. Erfinderurkunden oder PCT internationale Anmeldungen angegeben, deren Anmeldetag dem der Anmeldung, für welche Priorität beansprucht wird, vorangeht.

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

Method for controlling a handover between two network access devices

the specification of which is attached hereto unless the following box is checked:

- ☒ was filed on 30.09.2004 as United States Application Number or PCT International Application Number PCT/EP2004/052389 and was amended on Nov. 4th 2004 and July 29th 2005 (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority under Title 35, 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Prior foreign applications
Priorität beansprucht

Priority Claimed

1034552810

DE

30.09.2003

☒

☐

(Number)
(Nummer)

(Country)
(Land)

(Day Month Year Filed)
(Tag Monat Jahr eingereicht)

Yes
Ja

No
Nein

(Number)
(Nummer)

(Country)
(Land)

(Day Month Year Filed)
(Tag Monat Jahr eingereicht)

☐

☐

Yes
Ja

No
Nein

(Number)
(Nummer)

(Country)
(Land)

(Day Month Year Filed)
(Tag Monat Jahr eingereicht)

☐

☐

Yes
Ja

No
Nein

(Number)
(Nummer)

(Country)
(Land)

(Day Month Year Filed)
(Tag Monat Jahr eingereicht)

☐

☐

Yes
Ja

No
Nein

Ich beanspruche hiermit die mir unter Title 35, US-Code, § 120 zustehenden Vorteile aller unten aufgeführten US-Patentanmeldungen bzw. § 365(c) aller PCT internationalen Anmeldungen, welche die Vereinigten Staaten von Amerika benennen, und erkenne, insofern der Gegenstand eines jeden früheren Anspruchs dieser Patentanmeldung nicht in einer US-Patentanmeldung, bzw. PCT internationalen Anmeldung in einer gemäß dem ersten Absatz von Title 35, US-Code, § 112 vorgeschriebenen Art und Weise offenbart wurde, meine Pflicht zur Offenbarung jeglicher Informationen an, die zur Prüfung der Patentfähigkeit in Einklang mit Title 37, Code of Federal Regulations, § 1.56 von Belang sind und die im Zeitraum zwischen dem Anmeldetag der früheren Patentanmeldung und dem nationalen oder im Rahmen des Vertrags über die Zusammenarbeit auf dem Gebiet des Patentrechts (PCT) gültigen internationalen Anmeldetags bekannt geworden sind.

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), or § 365(c) of any PCT international application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

PCT/EP2004/052369

(Application Serial No.)
(Anmeldeseriennummer)

30.09.2004

(Filing Date D, M, Y)
(Anmeldedatum T, M, J)

anhängig

(Status)
(patentiert, anhängig,
aufgegeben)

pending

(Status)
(patented, pending,
abandoned)

(Application Serial No.)
(Anmeldeseriennummer)

(Filing Date D, M, Y)
(Anmeldedatum T, M, J)

(Status)
(patentiert, anhängig,
aufgeben)

(Status)
(patented, pending,
abandoned)

Ich erkläre hiermit, daß alle in der vorliegenden Erklärung von mir gemachten Angaben nach bestem Wissen und Gewissen der Wahrheit entsprechen, und ferner daß ich diese eidesstattliche Erklärung in Kenntnis dessen ablege, daß wissentlich und vorsätzlich falsche Angaben oder dergleichen gemäß § 1001, Title 18 des US-Code strafbar sind und mit Geldstrafe und/oder Gefängnis bestraft werden können und daß derartige wissentlich und vorsätzlich falsche Angaben die Rechtswirksamkeit der vorliegenden Patentanmeldung oder eines aufgrund deren erteilten Patentes gefährden können.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

German Language Declarati

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POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: (list name and registration number)

Customer No. 21171

And I hereby appoint

Telefongespräche bitte richten an:
(Name und Telefonnummer)

Direct Telephone Calls to: (name and telephone number)

Ext. _____

Postanschrift:

Send Correspondence to:

Staas & Halsey LLP Intellectual Property Attorneys
1201 New York Avenue, NW, Suite 700 20005 Washington UNITED STATES OF AMERICA
Telephone: (001) 202 434 1500 and Facsimile (001) 202 434 1501

or

Customer No. 21171

Voller Name des einzigen oder ursprünglichen Erfinders: (falls einer)		Full name of sole or first inventor:	
Stefan Aust		Stefan Aust	
Unterschrift des Erfinders	Datum	Inventor's signature	Date
		<i>Stefan Aust</i>	22.3.06
Wohnsitz		Residence	
Kyoto, JAPAN		Kyoto, JAPAN	
Staatsangehörigkeit		Citizenship	
DE		DE	
Postanschrift		Post Office Address	
12-303 5-1-3 Kabuto-Dai / Kizu-cho Soraku-gun		12-303 5-1-3 Kabuto-Dai / Kizu-cho Soraku-gun	
619-0224 Kyoto JAPAN		619-0224 Kyoto JAPAN	
Voller Name des zweiten Miterfinders (falls zutreffend):		Full name of second joint inventor, if any:	
Nikolaus Albert Fikouras		Nikolaus Albert Fikouras	
Unterschrift des Erfinders	Datum	Inventor's signature	Date
Wohnsitz		Residence	
Hambergen, GERMANY		Hambergen, GERMANY	
Staatsangehörigkeit		Citizenship	
DE		DE	
Postanschrift		Post Office Address	
Bergstr. 2		Bergstr. 2	
27729 Hambergen GERMANY		27729 Hambergen GERMANY	

(Bitte entsprechende Informationen und Unterschriften im Falle von dritten und weiteren Miterfindern angeben).

(Supply similar information and signature for third and subsequent joint inventors).

German Language Declaration

VERTRETUNGSVOLMACHT: Als benannter Erfinder beauftrage ich hiemit den (die) nachstehend aufgeführten Patentanwalt (Patentanwälte) und/oder Vertreter mit der Verfolgung der vorliegenden Patentanmeldung sowie mit der Abwicklung aller damit verbundenen Angelegenheiten vor dem US-Patent- und Markenamt: (Name(n) und Registrationsnummer(n) auflisten):

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: (list name and registration number)

And I hereby appoint

Customer No. 21171

Telefongespräche bitte richten an:
(Name und Telefonnummer)

Direct Telephone Calls to: (name and telephone number)

Ext. _____

Postanschrift

Send Correspondence to:

Staas & Halsey LLP Intellectual Property Attorneys
1201 New York Avenue, NW, Suite 700 20005 Washington UNITED STATES OF AMERICA
Telephone: (001) 202 434 1500 and Facsimile (001) 202 434 1501

or

Customer No. 21171

Voller Name des einzigen oder ursprünglichen Erfinders: (Name(n) und Registrationsnummer(n) auflisten):		Full name of sole or first inventor:	
Stefan Aust		Stefan Aust	
Unterschrift des Erfinders	Datum	Inventor's signature	Date
Wohnsitz		Residence	
Kyoto, JAPAN		Kyoto, JAPAN	
Staatsangehörigkeit		Citizenship	
DE		DE	
Postanschrift		Post Office Address	
12-303 5-1-3 Kabuto-Dai / Kizu-cho Soraku-gun		12-303 5-1-3 Kabuto-Dai / Kizu-cho Soraku-gun	
619-0224 Kyoto JAPAN		619-0224 Kyoto JAPAN	
Voller Name des zweiten Miterfinders (falls zutreffend):		Full name of second joint inventor, if any:	
Nikolaus Albert Fikouras		Nikolaus Albert Fikouras	
Unterschrift des Erfinders	Datum	Inventor's signature	Date
		N. A. Fikouras 20.03.06	
Wohnsitz		Residence	
Hambergen, GERMANY		Hambergen, GERMANY	
Staatsangehörigkeit		Citizenship	
DE		DE	
Postanschrift		Post Office Address	
Bergstr. 2		Bergstr. 2	
27729 Hambergen GERMANY		27729 Hambergen GERMANY	

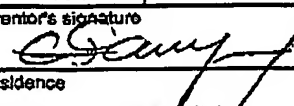
(Bitte entsprechende Informationen und Unterschriften im Falle von dritten und weiteren Miterfindern angeben).

(Supply similar information and signature for third and subsequent joint inventors).

Voller Name des dritten Miterfinders: Prof. Camelita Görg		Full name of third joint inventor: Prof. Camelita Görg	
Unterschrift des Erfinders	Datum	Inventor's signature	Date 14.3.06
Wohnsitz Bremen, GERMANY		Residence Bremen, GERMANY	
Staatsangehörigkeit DE		Citizenship DE	
Postanschrift Am Deichfleet 4		Post Office Address Am Deichfleet 4	
28357 Bremen		28357 Bremen	
GERMANY		GERMANY	
Voller Name des vierten Miterfinders: Cornel Pampu		Full name of fourth joint inventor: Cornel Pampu	
Unterschrift des Erfinders	Datum	Inventor's signature	Date
Wohnsitz Berlin, GERMANY		Residence Berlin, GERMANY	
Staatsangehörigkeit DE		Citizenship DE	
Postanschrift Spießweg 164		Post Office Address Spießweg 164	
13437 Berlin		13437 Berlin	
GERMANY		GERMANY	
Voller Name des fünften Miterfinders: Daniel Proetel		Full name of fifth joint inventor: Daniel Proetel	
Unterschrift des Erfinders	Datum	Inventor's signature	Date
Wohnsitz Bremen, GERMANY		Residence Bremen, GERMANY	
Staatsangehörigkeit DE		Citizenship DE	
Postanschrift Ricarda-Huch-Str. 45		Post Office Address Ricarda-Huch-Str. 45	
28215 Bremen		28215 Bremen	
GERMANY		GERMANY	
Voller Name des sechsten Miterfinders:		Full name of sixth joint inventor:	
Unterschrift des Erfinders	Datum	Inventor's signature	Date
Wohnsitz		Residence	
Staatsangehörigkeit		Citizenship	
Postanschrift		Post Office Address	

(Bitte entsprechende Informationen und Unterschriften im Falle von dritten und weiteren Miterfindern angeben).

(Supply similar information and signature for third and subsequent joint inventors).

Voller Name des dritten Miterfinders: Prof. Carmelita Görg		Full name of third joint inventor: Prof. Carmelita Görg	
Unterschrift des Erfinders	Datum	Inventor's signature	Date
Wohnsitz Bremen, GERMANY		Residence Bremen, GERMANY	
Staatsangehörigkeit DE		Citizenship DE	
Postanschrift Am Deichfleet 4		Post Office Address Am Deichfleet 4	
28357 Bremen GERMANY		28357 Bremen GERMANY	
Voller Name des vierten Miterfinders: Cornel Pampu		Full name of fourth joint inventor: Cornel Pampu	
Unterschrift des Erfinders	Datum	Inventor's signature 	Date 14.03.06
Wohnsitz Berlin, GERMANY		Residence Berlin, GERMANY	
Staatsangehörigkeit DE		Citizenship DE	
Postanschrift Spießweg 164		Post Office Address Spießweg 164	
13437 Berlin GERMANY		13437 Berlin GERMANY	
Voller Name des fünften Miterfinders: Daniel Proetel		Full name of fifth joint inventor: Daniel Proetel	
Unterschrift des Erfinders	Datum	Inventor's signature	Date
Wohnsitz Bremen, GERMANY		Residence Bremen, GERMANY	
Staatsangehörigkeit DE		Citizenship DE	
Postanschrift Ricarda-Huch-Str. 45		Post Office Address Ricarda-Huch-Str. 45	
28215 Bremen GERMANY		28215 Bremen GERMANY	
Voller Name des sechsten Miterfinders:		Full name of sixth joint inventor:	
Unterschrift des Erfinders	Datum	Inventor's signature	Date
Wohnsitz		Residence	
Staatsangehörigkeit		Citizenship	
Postanschrift		Post Office Address	

(Bitte entsprechende Informationen und Unterschriften im Falle von dritten und weiteren Miterfindern angeben).

(Supply similar information and signature for third and subsequent joint inventors).

Voller Name des dritten Mitfinders: Prof. Carmelita Görg		Full name of third joint inventor: Prof. Carmelita Görg	
Unterschrift des Erfinders	Datum	Inventor's signature	Date
Wohnsitz Bremen, GERMANY		Residence Bremen, GERMANY	
Staatsangehörigkeit DE		Citizenship DE	
Postanschrift Am Deichfleet 4		Post Office Address Am Deichfleet 4	
28357 Bremen GERMANY		28357 Bremen GERMANY	
Voller Name des vierten Mitfinders: Cornel Pampu		Full name of fourth joint inventor: Cornel Pampu	
Unterschrift des Erfinders	Datum	Inventor's signature	Date
Wohnsitz Berlin, GERMANY		Residence Berlin, GERMANY	
Staatsangehörigkeit DE		Citizenship DE	
Postanschrift Spießweg 164		Post Office Address Spießweg 164	
13437 Berlin GERMANY		13437 Berlin GERMANY	
Voller Name des fünften Mitfinders: Daniel Proetel		Full name of fifth joint inventor: Daniel Proetel	
Unterschrift des Erfinders <i>Daniel Proetel</i>	Datum 17.3.06	Inventor's signature	Date
Wohnsitz Bremen, GERMANY		Residence Bremen, GERMANY	
Staatsangehörigkeit DE		Citizenship DE	
Postanschrift Am Borgfelder Saatland 20		Post Office Address Am Borgfelder Saatland 20	
28357 Bremen GERMANY		28357 Bremen GERMANY	
Voller Name des sechsten Mitfinders:		Full name of sixth joint inventor:	
Unterschrift des Erfinders	Datum	Inventor's signature	Date
Wohnsitz		Residence	
Staatsangehörigkeit		Citizenship	
Postanschrift		Post Office Address	

(Bitte entsprechende Informationen und Unterschriften im Falle von dritten und weiteren Mitfindern angeben).

(Supply similar information and signature for third and subsequent joint inventors).

04-11-2006



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Document.

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1. Name of conveying party(ies):

Stefan AUST
Nikolaus Albert FIKOURAS
Carmelita GÖRG
Cornel PAMPU
Daniel PROETEL

2. Name and Address of receiving party(ies):

SIEMENS AKTIENGESELLSCHAFT
Wittelsbacherplatz 2
80333 Munich
GERMANY

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Date(s): 03/22/2006; 03/20/2006; 03/14/2006; 03/14/2006; 03/17/2006

4. Application number(s) or patent number(s):

☒ This document is being filed together with a new application.

OR

☐ This document is being filed after filing of the application:

(a) Patent Application No(s) , filed Concurrently; or

(b) Patent No(s) , issued .

5. Name and address of party to whom correspondence concerning document should be mailed:

STAAS & HALSEY LLP Our Docket: 1454.1704
Attention: Richard A. Gollhofer
1201 New York Ave., N.W., 7th Floor
Washington, D.C. 20005

6. Total number of applications and patents involved: 1.

7. Total fee (37 CFR 3.41)..... (\$ 40.00 per Patent or Application in Assignment)

☒ Enclosed
☐ Authorized to be charged to deposit account.

8. Deposit Account No.: 19-3935 (Any underpayment is authorized to be charged to this Deposit Account)

(Attach duplicate copy of this page if paying by deposit account)

Richard A. Gollhofer, Reg. No. 31,106
Name of Person Signing

Richard A. Gollhofer 3/30/06
Signature Date

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For good and valuable consideration, I/we, the undersigned

Stefan Aust
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12-303 5-1-3 Kabuto-Dai / Kizu-cho
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619-0224 Kyoto
JAPAN

Nikolaus Albert Fikouras
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residing at:
Spießweg 164
13437 Berlin
GERMANY

Daniel Proetel
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Am Borgfelder Saatland 20
28357 Bremen
GERMANY

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hereby sell, assign, and transfer to

Siemens Aktiengesellschaft

a German corporation organized and existing under the laws of the Federal Republic of Germany, having its principal place of business in **München, GERMANY** herein the "Assignee", its successors, assigns and legal representatives the entire and exclusive right, title and interest in and for the United States, in all and to any improvements in the

(Title:) **Method for controlling a handover between two network access devices**

disclosed in the PCT international application for Letters Patent designating the United States, said application being identified in our records as

Applicants File No. **2003P15148WOUS**
and filed as PCT-application **PCT/EP2004/052369**

and in and to said application and all divisional, continuing substitute, renewal, reissue and all other applications for Letters Patent which have been or shall be filed in the United States on any of said improvements; and in and to all original and reissued patents which have been or shall be issued in the United States on said improvements;

IDNR: 4248 / 06.02.2006

hereby agree that said Assignee may apply for and receive Letters Patent for said improvements in its own name and that when requested without charge to but at the expense of said Assignee, its successors, assigns, and legal representatives, to carry out in good faith the intent and purpose of this agreement, the undersigned will execute all divisional, continuing substitute, renewal, reissue and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns and legal representatives all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns, or legal representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements in said Assignee, its successors, assigns, and legal representatives; and

hereby covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed had been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date: 22.3.06

Stefan Just
Stefan Just

Witnesses: Akira Yamaguchi
(type name)

Akira Yamaguchi

Witnesses: Akio Hasegawa
(type name)

AKIO HASEGAWA

Date: _____

Nikolaus Albert Fikouras

Witnesses: _____
(type name)

Witnesses: _____
(type name)

Date: _____

Prof. Carmelita Görg

Witnesses: _____
(type name)

Witnesses: _____
(type name)

hereby agree that said Assignee may apply for and receive Letters Patent for said improvements in its own name and that when requested without charge to but at the expense of said Assignee, its successors, assigns, and legal representatives, to carry out in good faith the intent and purpose of this agreement, the undersigned will execute all divisional, continuing substitute, renewal, reissue and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns and legal representatives all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns, or legal representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements in said Assignee, its successors, assigns, and legal representatives; and

hereby covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed had been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date: _____

Stefan Aust

Witnesses: _____
(type name)

Witnesses: _____
(type name)

Date: 20.03.06

N. A. Fikouras
Nikolaus Albert Fikouras

Witnesses: R. Fikoura-Waschul
(type name) Renate Fikoura-Waschul

Witnesses: Lefti M. Hadou
(type name) Lefti Hadou

Date: _____

Prof. Carmelita Görg

Witnesses: _____
(type name)

Witnesses: _____
(type name)

hereby agree that said Assignee may apply for and receive Letters Patent for said improvements in its own name and that when requested without charge to but at the expense of said Assignee, its successors, assigns, and legal representatives, to carry out in good faith the intent and purpose of this agreement, the undersigned will execute all divisional, continuing substitute, renewal, reissue and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns and legal representatives all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns, or legal representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements in said Assignee, its successors, assigns, and legal representatives; and

hereby covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed had been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date: _____

Stefan Aust

Witnesses: _____
(type name)

Witnesses: _____
(type name)

Date: _____

Nikolaus Albert Fikouras

Witnesses: _____
(type name)

Witnesses: _____
(type name)

Date: 14.3.06

Prof. Cannelita Görg

Witnesses: L. P. Am. L. al.
(type name)

Witnesses: Karl W. V. L.
(type name)

Date: 14.3.06C. Pampu
Cornel PampuWitnesses: Frank Uwe Andersen
(type name) FRANK-UWE ANDERSENWitnesses: Morten Schlager
(type name) Morten Schlager

Date: _____

Daniel Proetel
Daniel ProetelWitnesses: _____
(type name)Witnesses: _____
(type name)

Date: _____ Cornel Pampu

Witnesses: _____
(type name)

Witnesses: _____
(type name)

Date: 17.3.6 Daniel Proetel
Daniel Proetel

Witnesses: Christina Proetel
(type name)

Witnesses: Walter Proetel
(type name)